



Open Access Oxford

New Wellcome Trust Open Access Policy

Hilary 2021

OA policy: summary

- **All original research articles supported in whole, or in part, by Wellcome must be:**
 - made freely available through PubMed Central (PMC) and Europe PMC by the official final **publication date**

And

- published under a Creative Commons attribution licence (**CC BY**), unless we have agreed, as an exception, to allow publication under a CC BY-ND licence.
- **Policy applies to all research articles submitted for publication from 1 January 2021**



OA policy: other elements

- All research articles supported in whole, or in part, by Wellcome must include a **statement** explaining how other researchers can access any **data, original software or materials** underpinning the research
- We are committed to making sure that when we assess research outputs during funding decisions, we consider the **intrinsic merit of the work**, not the title of the journal or publisher. All Wellcome-funded institutions have to commit to this principle
- Researchers and organisations who do not comply with the OA policy will be subject to appropriate **sanctions**. These may include Wellcome:
 - > not accepting new grant applications
 - > suspending funding to organisations in extreme cases



What's changed for research articles?

New OA policy	Previous OA policy
No embargoes accepted	<i>Previously accommodated a 6-month embargo</i>
All research articles must be openly licensed	<i>Previously this was only when we paid an APC</i>
No longer funding APCs in subscription/hybrid journals (unless part of a transformative arrangement)	<i>Previously we paid APCs in subscription journals</i>
New grant condition added such that all grantholders automatically grant a CC BY public copyright licence to all their future Author Accepted Manuscripts	<i>No equivalent grant condition</i>

Routes to Open Access

	Route 1	Route 2	Route 3
	Open Access publishing venues (journals or platforms)	Subscription venues (repository route)	Transition of subscription venues (transformative arrangements)
Description	Authors publish in an Open Access journal or on an Open Access platform.	Authors publish in a subscription journal and make the Author's Accepted Manuscript (AAM) openly available in a repository.	Authors publish Open Access in a subscription journal under a transformative arrangement.
Funding	Wellcome will financially support publication fees.	Wellcome will not financially support 'hybrid' Open Access publication fees in subscription venues.	Wellcome will financially contribute to the cost of these arrangements at the institution level.



Route 1 – Publish in an OA journal/platform

- Publish in any fully OA journal, indexed by Directory of OA Journals (DOAJ)
- Examples include eLife, PLOS, Nature Communications, Wellcome Open Research etc etc.
- OA fee will be met by Wellcome



Wellcome Open Research



BMJ Open

nature communications

 **BMC** Part of Springer Nature

Route 2 – The repository route

- Publish in any peer reviewed journal and make the **Author Accepted Manuscript (AAM)**, in Europe PMC at the time of publication with a CC BY licence. The updated grant conditions give you the right to do so.
- As the CC BY licence to the future AAM was already in place, prior to the publication agreement with the author, **that prior CC BY licence will trump any conflicting language in the publication agreement**
- We require you to include the following statement on all submissions of original research to peer-reviewed journals:

*'This research was funded in whole, or in part, by the Wellcome Trust [Grant number]. **For the purpose of Open Access, the author has applied a CC BY public copyright licence to any Author Accepted Manuscript version arising from this submission.***
- Deposit your AAMs at Europe PMC plus
- In cases where the **Version of Record** is made OA (Routes 1 and 3) there is no need to deposit the AAM at Europe PMC



 Europe PMC plus | [manuscript submission system](https://plus.europepmc.org/home)

<https://plus.europepmc.org/home>

Route 3 – Transformative Arrangements

- Transformative Arrangements are publishing models where the subscription publisher is committed to transitioning to OA
Various approaches supported including “Read and Publish”, “Subscribe to Open” and “Transformative Journals”
- The University currently has agreements which cover over 6,000 journals with the following publishers – *American Physiological Society, Bioscientifica, Brill, Cold Spring Harbor Laboratories, Company of Biologists, Institute of Physics Publishing, Microbiology Society, Portland Press, Rockefeller University Press, Royal Society, SAGE, Springer and Wiley.*

Details of Oxford’s agreements with publishers can be found on the OAOxford website:

<http://openaccess.ox.ac.uk/home-2/what-do-i-need-to-do/read-and-publish-deals/>

! This compliance route is time limited and will end by December 2024.



The block grant



- ✓ Wellcome will continue to provide annual OA block grants to UK organisations & research institutes
- ✓ Article Processing Charges (APCs) associated with fully OA journals & platforms
- ✓ APCs for Transformative journals
- ✓ APCs for articles published in subscription journals which have been submitted for publication **before** 1st January 2021.

Journal Checker Tool

- A web tool developed by cOAlition S, to support all researchers who need to comply with a Plan S OA policy- beta release 11/2020
- Aiming for global coverage of journals, starting with the 200 publishers that publish 95% of cOAlition S funded research (very close coverage to Wellcome's top 200)
- Goal to help researchers identify which of the routes to open access compliance is available to them
- How you comply may change over time – check each time before you submit!

 **JOURNAL CHECKER TOOL**

<https://journalcheckertool.org/>

 JOURNAL CHECKER TOOL

BETA

Is this compliant with Plan S?

JOURNAL

By ISSN or title

+

MY FUNDER

By funder name

+

MY INSTITUTION

By ROR or name

=

No affiliation

*cOAlition S Office
European Science Foundation
1, quai Lezay – Marnésia
67080 Strasbourg – France*

 SEND US FEEDBACK

[Transformative Journals](#) • [Transformative Agreements](#) • [API Documentation](#) • [FAQ](#)

Wellcome OA policy website:

<https://wellcome.org/grant-funding/guidance/open-access-guidance/open-access-policy>

OA policy guidance from Wellcome:

<https://wellcome.org/grant-funding/guidance/open-access-guidance/complying-with-our-open-access-policy>

Wellcome OA policy FAQ:

<https://wellcome.org/sites/default/files/wellcome-open-access-policy-2021-faq.pdf>

Current Oxford “Transformative Arrangements” with publishers:

<http://openaccess.ox.ac.uk/home-2/what-do-i-need-to-do/read-and-publish-deals/>

The OAOxford website for all open access updates: openaccess.ox.ac.uk



OA Team	openaccess@bodleian.ox.ac.uk
Open Access Contacts	http://openaccess.ox.ac.uk/home-2/contact-us/ (PDF on Contact List)
APC Team	apc@bodleian.ox.ac.uk
	@oaoxford

All slides without an OAO banner are taken or adapted from the following webinar:

Kiley, Robert; Hope, Hannah; Hurley, Margaret (2020): Wellcome OA Webinar Slides. Wellcome Trust. Presentation.

<https://doi.org/10.6084/m9.figshare.13042112.v2>

They are used under a [CC BY 4.0](https://creativecommons.org/licenses/by/4.0/) licence, with thanks to the Wellcome Trust.

