This session will be recorded

Slides will be emailed to attendants after the session
Timeline of events

The UKRI Open Access Policy was determined by the UKRI Open Access Review, which took place from autumn 2018 to summer 2021

In August 2021 UKRI announced its new policy*

*Implementation guidance is forthcoming

The policy will begin to apply from the 1st April 2022

“The UKRI open access policy will replace the Research Councils’ (RCUK) policy on open access and also apply to certain funding provided by Innovate UK (building on current guidance) and Research England (excl. un-hypothecated block grant funding provided to HE institutions)”

For more information on the decision making process/reasoning see:
1: https://www.ukri.org/publications/ukri-open-access-policy-explanation-of-policy-changes
A note on UKRI/REF

Researchers should continue to ‘Act on Acceptance’ in line with REF 2021 requirements.

“Both UKRI and the UK higher education funding bodies note that the scope of an open access policy for the future national research assessment exercise is much broader than the UKRI Open Access Policy. This will be considered when developing the future national research assessment position.”

What does it apply to

Submitted from 1\textsuperscript{st} April 2022

Short-form publications including:
• Research articles
• Reviews (incl. commissioned/invited reviews)
• Conference papers

Accepted for final publication in either a journal, conference proceeding with an ISSN, or publishing platform*

Published on or after 1\textsuperscript{st} April 2024

Long-form publications including:
• Academic monographs
• Book chapters
• Edited collections
• Trade books (where they are the sole output of UKRI funded research)

*“Publishing platforms for the original publication of research outputs. Platforms that merely serve to aggregate or re-publish content that has already been published elsewhere are not considered as such”
Published under a CC BY (Creative Commons Attribution) licence. Two exceptions on request (Open Government Licence / CC BY-ND)

Include a data accessibility statement signposting where/how a researcher can access the underlying data (templates available)

No embargo period is permitted for ‘green route OA’ where the accepted manuscript is deposited into a repository

UKRI have indicated they won’t support OA publication costs in hybrid journals unless subject to a Transformative Agreement (please use green OA)
Routes to compliance

1.1 Publish in a fully open access journal/platform that makes the article immediately open access (the ‘gold route’)

1.2 Publish in a journal covered by a Transformative Agreement (also sometimes known as a Read & Publish deal)

2 Publish in a subscription journal and make the Author Accepted Manuscript (AAM) openly available on publication with no embargo (the ‘green route’). This route may require publisher acceptance of the use of the “Rights Retention Strategy (RRS)” and requires the inclusion of the RRS text.

[Links to journal checker tool and DOAJ]
Route 1.1 – Fully Gold OA

Publish in a fully open access journal/platform that makes the article immediately open access

Brief how to:

1. Search for an applicable title in your subject area using:
   • The journal checker
   OR
   • Directly searching the Directory of Open Access Journals (DOAJ)
2. Email apc@bodleian.ox.ac.uk to confirm funds are available
3. Submit paper (after April 1st 2022) using @ox.ac.uk email
4. APC payments will be processed by the Oxford APC team
5. Article is made Open Access by the journal on publication with a CC BY licence
6. Deposit into the Oxford University Research Archive (ORA) as standard
Route 1.2 – Transformative

Publish in a journal covered by a Transformative Agreement (also sometimes known as a Read & Publish deal)

Brief how to:

1. Search for an applicable title in your subject area using the journal checker
2. Journal checker signposts that there is an agreement
3. Email apc@bodleian.ox.ac.uk to confirm applicability for the agreement
4. Submit paper (after April 1st 2022) using your @ox.ac.uk email address
5. All charges are managed by the Oxford APC team
6. Article is made Open Access by the journal on publication with a CC-BY licence (select this licence when prompted)
7. Deposit into the Oxford University Research Archive (ORA) as standard

Go here for a list of current deals: http://openaccess.ox.ac.uk/publisher-deals
Route 2 – Green OA & RRS

Publish in a subscription journal and make the Author Accepted Manuscript (AAM) openly available on publication with no embargo.

Brief how to:

1. Search for an applicable title in your subject area using the Journal checker
2. Journal checker signposts that you can be compliant:
   1. Via a deposit
   2. Using the Rights Retention strategy (next slide)
3. Include the Rights Retention Text in all submissions
4. Submit paper (after April 1st 2022) using your @ox.ac.uk email address
5. Deposit the accepted manuscript – preferably into the Oxford University Research Archive (Act on Acceptance)

Author Accepted Manuscript

The version of your research after peer review, when changes have been accepted by the publisher but before article formatting is applied
Rights retention strategy

The Rights Retention Strategy is used by researchers publishing in subscription or hybrid journals where the gold and green routes are not compliant with UKRI policy.

This below text is added during the application process to apply a Creative Commons (CC BY) licence to any resulting AAM to allow for deposit via the green route.

The Bodleian advises researchers to include this text on ALL of their UKRI funded submissions to ensure coverage.

‘This research was funded in whole, or in part, by UKRI [Grant number]. For the purpose of Open Access, the author has applied a CC BY public copyright licence to any Author Accepted Manuscript version arising from this submission.’
Deposit research outputs at the earliest opportunity, and certainly within six months of publication, in Europe PubMed Central - preferably the Version of Record (VoR) but AAM if necessary.

Deposit ‘how to’ can be found here: https://plus.europepmc.org/home

Note: Some journals will automatically deposit into EPMC but remember to check it is EPMC and not just PubMed Central!
Where an AAM is deposited, it should be clear that this is not the final published version.

The open access version should include, where possible, any images, illustrations, tables and other supporting content.

An embargo period of 12 months (or less) is permitted.

A CC BY (preferred) licence is applied to the open version – Which can be the AAM or VoR (OGL/and other CC licences permitted)

Published on or after 1st April 2024

Required for:
- Academic monographs
- Book chapters
- Edited collections
- Trade books (sole output)

Not required for:
- Trade books
- Scholarly editions*
- Exhibition catalogues
- Scholarly illustrated catalogues
- Textbooks
- All types of fictional works and creative writing

*Defined as an edition of another author’s original work or body of works informed by critical evaluation of the sources (such as earlier manuscripts, texts, documents and letters), often with a scholarly introduction and explanatory notes or analysis on the text and/or original author.
Long Form Publications - Exemptions

Sole publication venue – After consultation

“where the only appropriate publisher, after liaison and consideration, is unable to offer an open access option that complies with UKRI’s policy”

After training grant lifespan

Where the publication is a result from a UKRI training grant
“[UKRI] recognises that publication may occur sometime beyond the lifetime of a training grant” (note: the policy on theses still applies)

Third-party copyright

“UKRI’s licensing requirements do not apply to any materials included within a long-form output that are provided by third-party copyright holders”
Any questions?

Open access team: openaccess@bodleian.ox.ac.uk
Open access contacts: openaccess.ox.ac.uk/contact-us
APC Team: apc@bodleian.ox.ac.uk
UKRI Open Research: openresearch@ukri.org
UKRI policy pages: http://openaccess.ox.ac.uk/ukri
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