

# Fundamentals of Open Access

Michaelmas, November 10<sup>th</sup> 2022 – 14:30-15:30

Sarah Humphreys

*Open Scholarship Librarian (She/her)*



This session will be recorded



Slides and handouts will be distributed after the session

# Learning outcomes

By the end of this session you should:

1. Have an understanding of Open Access, where it comes from and what it means to you
2. Understand how open access publishing works
3. Know a few tools you can use to help you find/publish open access
4. Understand some jargon terms and where to go if you find a new one

# Problems with Jargon



<http://openaccess.ox.ac.uk/glossary>



# The open landscape



Data



PrePrint

Aka: "Research before publication"

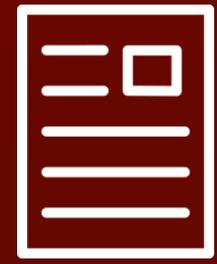


Monograph

Aka "Long form publication"

Open Educational Resources (OER)

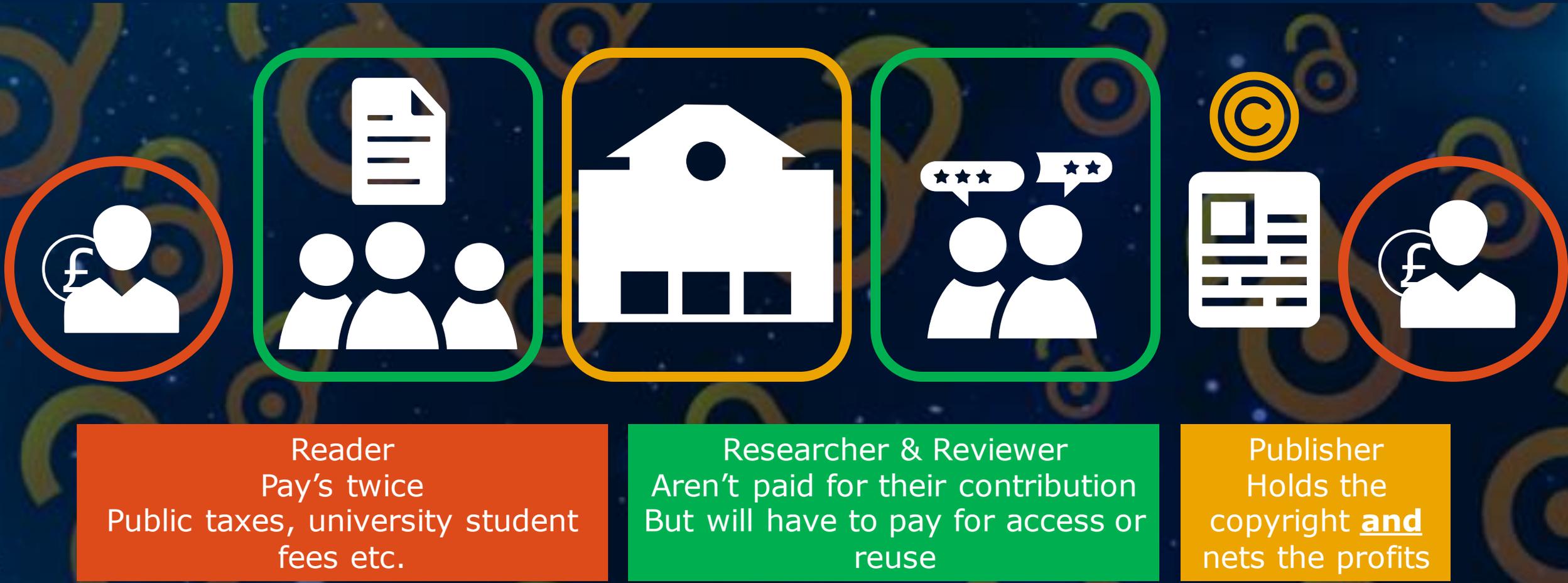
Aka "Open textbooks"



"Short form publication"

Aka: Articles, conference proceedings

# The struggle with academic publishing



Open access was suggested as a way to disrupt this pattern

# Open Access – What is it?

Open access means **permanent, free access to research outputs for everyone**. All types of outputs, including journal articles, monographs, textbooks, research data, software, code, reports, and recordings of performances and exhibitions, can be made available open access.\*



Reader  
Pays  
Nothing

Results  
Available  
(Online) For  
Posterity

Licensed  
To  
Allow  
Reuse

<http://openaccess.ox.ac.uk/understanding-oa>

# Benefits of open access

Contents of this slide reworked from: <https://oaaustralasia.org/2021/03/23/benefits-of-open-access>



More exposure for your work



Your research can influence policy



Practitioners can apply your findings



Taxpayers don't pay twice for research



Researchers in developing countries can access your research



Compliant with grant rules



The public can access your findings



Higher citation rates

# Open Access – Video overview

[https://www.youtube.com/watch?v=676JM1M\\_gFg](https://www.youtube.com/watch?v=676JM1M_gFg)



# Open Access publication

## Method One

Via the Publisher

The publisher makes your research open access on their website.

They add a specific licence to your work to ensure it can be shared.

You can ALSO deposit the publishers version!

## Method Two

Via the Author

You publish the paper elsewhere BUT make your research open access by depositing your manuscript into a repository under a licence.

Readers can search for this item online to find your research free-to-read.

Repository:  
A digital location which archives your research for posterity with metadata and preservation standards

Examples include:  
EPMC, Oxford University Research Archive.

NOTE: Social media sites like academia.edu and ResearchGate are not repositories!

# Publish OA via publisher – Jargon!



# Paying APCs – Funds and grants

APC = Article processing charge

A payment made to the publisher to offset costs lost by publishing open access rather than with a subscription

Average:  
£2-3,000  
**NOTE:**  
**Diamond/  
Platinum  
routes  
have NO  
APC**

CRUK,  
Wellcome,  
UKRI or  
BHF  
Funded?  
Apply to  
Oxford's  
APC team

Funds  
elsewhere?  
Negotiate  
via their set  
routes

<https://openaccess.ox.ac.uk/oxford-apc-application>

# Paying APCs – Publisher agreements

Also called Transformative agreements or Read & Publish deals

Has a publisher contacted you offering to publish open access without a charge to you?  
Congratulations you've discovered one of our publisher agreements!

- ✓ Oxford corresponding author(s)
- ✓ Use Oxford University email (ox.ac.uk) on submission
- ✓ Choose the CC BY licence



Visit our website for a comprehensive list and to learn the requirements/journals involved:  
<http://openaccess.ox.ac.uk/publisher-deals>

# OA via author deposit - 'Green'



AAM = 'Accepted manuscript.' The unformatted post-peer review version of your research.

Deposit into a repository



'Institutional Repository'



# Green OA - Embargoes

#OxFOS22

Publisher applies an 'Embargo' preventing the reading of an OA manuscript in a repository until a specified time period.



The publisher sometimes does not place an embargo!

The ORA team will apply embargoes on deposited items

Once an embargo is over the research is available for posterity

<http://openaccess.ox.ac.uk/embargo>

# Tools and resources

<https://www.jisc.ac.uk/sherpa>

Jisc

Sherpa Romeo

Sherpa Juliet

Sherpa Fact

<https://journalcheckertool.org>

 Plan S  
Making full and immediate Open Access a reality

 **JOURNAL CHECKER TOOL**

## Online resources

Resource pages:

<http://openaccess.ox.ac.uk/oa-learn-more>

<https://openaccessbutton.org/versions-explained>

<https://openaccessbutton.org/direct2aam>

Templates

<http://openaccess.ox.ac.uk/templates>

Funder Requirements

<http://openaccess.ox.ac.uk/funders-overview>

Publishing

<https://libguides.bodleian.ox.ac.uk/publishing/open-access>

<https://shareyourpaper.org>

<https://thinkchecksubmit.org>

<https://ojm.ocert.at>

# Finding Open Access Material

<https://doaj.o>



Directory of Open Access Journals

<https://www.doabooks.org>



<https://openaccessbutton.org>



Avoid Paywalls, Request Research.

## Online resources

Finding OA:  
<http://openaccess.ox.ac.uk/finding-oa>

Locating creative commons media  
[http://openaccess.ox.ac.uk/creative\\_commons](http://openaccess.ox.ac.uk/creative_commons)

# Demonstration

Diamond/Platinum journal – No APC  
*Body, Space and Technology*  
Electronic: 1470-9120

Gold journal - £3,000 APC  
*eLife*  
Electronic: 2050-084X

Hybrid journal with green route? No embargo  
*Science*  
Print: 0036-8075  
Electronic: 1095-9203

Hybrid journal with green route? 12m embargo  
*Archiv für Geschichte der Philosophie*  
Print: 0003-9101  
Electronic: 1613-0650

<https://www.jisc.ac.uk/sherpa>



# Sherpa Romeo

# Discerning the routes on Sherpa

https://www.jisc.ac.uk/sherpa



Remember: Both these journal types can be found by searching DOAJ!



**Publication Information**

Title	Body, Space & Technology (BST) [English]
ISSNs	Electronic: 1470-9120
URL	<a href="https://www.bstjournal.com/">https://www.bstjournal.com/</a>
Publishers	Open Library of Humanities [Commercial Publisher]
DOAJ Listing	<a href="https://doaj.org/toc/1470-9120">https://doaj.org/toc/1470-9120</a>
Requires APC	NO [Data provided by DOAJ]

**Publication Information**

Title	eLife [English]
ISSNs	Electronic: 2050-084X
URL	<a href="http://www.elifesciences.org/">http://www.elifesciences.org/</a>
Publishers	eLife Sciences Publications [Commercial Publisher]
DOAJ Listing	<a href="https://doaj.org/toc/2050-084X">https://doaj.org/toc/2050-084X</a>
Requires APC	Yes [Data provided by DOAJ]

**Publisher Policy**

Open Access pathways permitted by this journal's policy are listed below by article version. Click on a pathway for a more detailed view.

Published Version [pathway a]	£	📄	🕒 None	🔗 CC BY-NC	☁️ PMC	☰	+	
📁 Any Website, Journal Website, +3								
Published Version [pathway b]	£	📄	🕒 None	🔗 CC BY	☁️ PMC	☰	+	
📁 Any Website, Journal Website, +3								
Accepted Version [pathway a]	🕒 24m	☰						+
📁 Institutional Repository, Non-Commercial Subject Repository								
Accepted Version [pathway b]	🕒 None	☰						+
📁 Author's Homepage								
Submitted Version	🕒 None	☰						+
📁 Any Website, +4								

# 'Open Compliance' – It's complicated!

REF  
202?

'Next REF'  
Guidance  
Forthcoming

- Acknowledge funder
- Declare data
- Rights retention?
- Manuscript deposit?  
(EPMC/ORI)
- Green embargo limit?
- No payment for Hybrid?
- Licence requirement (CC BY)?



Visit our website to learn your requirements: <http://openaccess.ox.ac.uk/funders-overview>  
UKRI, Wellcome, BHF, NIHR and the ERC have policy briefing recordings on their pages

# Keeping up to date

Head over to:

<https://openaccess.ox.ac.uk/keeping-up-to-date>  
and sign up to a mailing list in your subject area.

Join the 'general' list to receive everything but don't join more than one list or you'll get duplicates!

# 5 things to do at Oxford

1. Sign up to a OA mailing list to keep up to date
2. Get an Elements account and start uploading work to ORA
3. Check your funder requirements (watch policy briefings)
4. Register/Link your ORCID
5. When you publish – check Sherpa! – can you make it open?

# Any questions?

This is a beginners course – There's no such thing as a stupid question!

Open Access Oxford site	<a href="http://openaccess.ox.ac.uk">http://openaccess.ox.ac.uk</a>
Open access team	<a href="mailto:openaccess@bodleian.ox.ac.uk">openaccess@bodleian.ox.ac.uk</a>
Open access contacts	<a href="http://openaccess.ox.ac.uk/contact-us/oa-contacts-list-11-2021">http://openaccess.ox.ac.uk/contact-us/oa-contacts-list-11-2021</a>
Symplectic Elements	<a href="mailto:symplectic@admin.ox.ac.uk">symplectic@admin.ox.ac.uk</a>
Oxford Research Archive	<a href="mailto:ora@bodleian.ox.ac.uk">ora@bodleian.ox.ac.uk</a>
APC Team	<a href="mailto:apc@bodleian.ox.ac.uk">apc@bodleian.ox.ac.uk</a>



Slides and recording will be emailed to you